

#6

26-11



UV-226

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael D. Ellis  
Application No. : 09/996,346 Confirmation No.: 1971  
Filed : November 28, 2001  
For : ELECTRONIC PROGRAM GUIDE  
WITH BLACKOUT FEATURES  
Group Art Unit : 2611  
Examiner : Not yet assigned

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Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

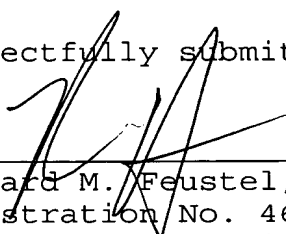
Transmitted herewith is an Information Disclosure Statement and an accompanying PTO-1449 form (submitted in duplicate) for the above-identified application. This Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

  
\_\_\_\_\_  
Richard M. Feustel, Jr.  
Registration No. 46,698  
Attorney for Applicant  
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William Bailey  
Name of person signing  
W.D. Bailey  
Signature of person signing



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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicant wishes to call the attention of the Examiner to  
the following documents:

U.S. Patents

Harvey et al.	4,694,490	09/15/87
Young	4,706,121	11/10/87
Young	B1 4,706,121	12/14/93
Harvey et al.	4,965,825	10/23/90
Young	4,977,455	12/11/90
Davis et al.	5,559,548	09/24/96
Miller et al.	5,585,866	12/17/96
Knee et al.	5,589,892	12/31/96
Thomas et al.	5,666,645	09/09/97
Ellis et al.	6,275,268	08/14/01
Bennington et al.	6,331,877	12/18/01

Foreign Patent Documents

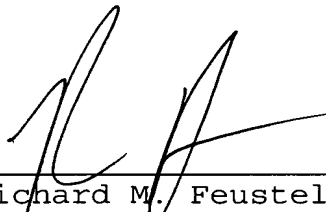
PCT	WO 00/33560	06/08/00
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Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith. It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application.

In accordance with 37 C.F.R. § 1.98, applicant would also like to call the Examiner's attention to Knudson et al. U.S. Patent Application No. 09/229,047, filed January 12, 1999. This reference has not been listed on the accompanying Form PTO-1449 to prevent its Application No. from being printed on the face of any patent issuing from this application. Applicant respectfully requests that the Examiner initial "All references have been considered" on the bottom of the enclosed Form PTO-1449 to indicate that this reference was considered (See MPEP § 609 III.C(2)).

Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this  
patent application is respectfully requested.



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3/25/02  
William Bailey  
Name of person signing

W.D. Bailey  
Signature of person signing

FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. <b>UV/226</b>	APPLN. NO. <b>09/996,346</b>
	APPLICANT <b>Michael D. Ellis</b>	CONF. NO. <b>1971</b>
	FILING DATE <b>November 28, 2001</b>	GROUP <b>2611</b>

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,694,490	09/15/87	Harvey et al.	380	20	
	4,706,121	11/10/87	Young	358	142	
	B1 4,706,121	12/14/93	Young	358	142	
	4,965,825	10/23/90	Harvey et al.	380	9	
	4,977,455	12/11/90	Young	358	142	
	5,559,548	09/24/96	Davis et al.	348	6	
	5,585,866	12/17/96	Miller et al.	348	731	
	5,589,892	12/31/96	Knee et al.	348	731	
	5,666,645	09/09/97	Thomas et al.	455	6.1	
	6,275,268	08/14/01	Ellis et al.	348	564	
	6,331,877	12/18/01	Bennington et al.	348	731	

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/33560	06/08/00	PCT	H04N	7173		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

All references have been considered.

EXAMINER INITIAL

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.